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Certification under 37 CFR 1.8(a)

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Brian W. Hameder (Reg. No. 45,613)
Name


Signature

DOCKET: CU-4643

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: John Charles HOLDSWORTH

Serial No.: 10/562,773

Group Art Unit:

Filed: December 29, 2005

Examiner:

For: A METHOD OF AND SYSTEM FOR AUTHENTICATING A TRANSACTION
INITIATED FROM A NON-INTERNET ENABLED DEVICE

THE COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE
MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

Date: November 9, 2006



Signature of Attorney

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INFORMATION DISCLOSURE STATEMENT

Applicants submit herewith patents, publications or other information of which the applicants are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The references submitted herein are listed on PTO-1449 form (modified) enclosed herewith. A copy of each reference listed is being furnished except any duplicate or cumulative patents or publications specified otherwise. Also, if the present application was filed after June 30, 2003, copies of US patents or published applications are not submitted in accordance with the USPTO Rule changes.

A translation of any foreign language reference, if any, is indicated in PTO-1449 form and being submitted herein if it is readily available. Otherwise it should be construed that such translation is not readily available.

Additional comments, if any, on the relevance of each reference listed are provided as follows:

Also submitted herein is a copy of the PCT Search Report which satisfies the requirement for a translation or concise explanation of any non-English reference cited therein, as provided in MPEP §609 A(3).

The Statement is made on the basis of the information:

supplied by the inventor(s);
 supplied by an individual associated with the filing and prosecution of this application (37 CFR 1.56(c)); or
 in the attorney's file.

Respectfully submitted,



Date: November 9, 2006

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Form PTO-1449 (Modified)

FORM PTO-1449	ATTY. DOCKET NO. CU-4643	SERIAL NO. 10/562,773
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		
(37 CFR 1.98(b))	APPLICANT John Charles HOLDSWORTH	FILING DATE December 29, 2005
		GROUP

U.S. PATENT DOCUMENTS

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

SEARCHED PATENT OR DESIGNATED FOREIGN PATENT APPLICATION						
EXAMINER INITIAL	DOCUMENT NUMBER	PUBL. DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB-CLASS	TRANSLATION YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
	EP 1 280 115	01/29/03	EPO			
	EP 1 372 089	12/17/03	EPO			
	EP 1 089 519	04/04/01	EPO			
	WO 01/82246	11/01/01	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Place of publication)

	<p>GPayments Ltd. "Verified by Visa Overview – The 3-D Secure authentication standard." 2002. www.gpayments.com/pdfs/Gpayments_VbV_Overview.pdf . pp. 1-5.</p>
	<p>Visa International Services Association: "3-D Secure?" 2001. www.scardssoft.com/documents/VISA/3DS_70001-01_Introduction_v1.0.1.pdf . pp. 1-13.</p>

(Form PTO-1449)